Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

2001P04281WOUS Attorney Docket No. Wolfgang Jugovec First Inventor Method and communication system ...

Comy for field themprovision	nai applications under 37 CFR 1.53(t	// [-/	(press	mair Eaber 110.				
APPLICATION ELEMENTS			ADD	DECC TO.	stant Cor Patent A		oner for Pa	tents
See MPEP chapter 600 concerning utility patent application contents.				Was	shington,	DC 20	231	
2. (Submit an original and a Applicant claims see 37 CFR 1.27 Specification (preferred arrangement)	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  Applicant claims small entity status. See 37 CFR 1.27.  Specification [Total Pages [29]] - Descriptive title of the invention			7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF)				
- Cross Referenc - Statement Reg - Reference to so or a computer p - Background of	ce to Related Applications parding Fed sponsored R & D equence listing, a table, program listing appendix		c. [	Specification Sequence i.	r CD-R (2	copie	ove copies	
- Brief Description	on of the Drawings (if filed)		<b>├</b>	CCOMPANYING	APPLIC	CATIO	ON PART	<u>[S</u>
- Detailed Descr - Claim(s) - Abstract of the	Disclosure	7	9.	Assignment Papers 37 CFR 3.73(b) State (when there is an a	tement	1	Power of Attorner	of
4. Drawing(s) (35 L	J.S.C. 113) [ Total Sheets 3 4	۱ ل ۱ آ	11.	English Translation	sure	ent (if a	applicable) Copies of Citations	
		. ·	13.	Statement (IDS)/P			_ 0110110111	•
a. Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. (for continuation/divisional with Box 18 completed)			Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)			15. Certified Copy of Priority Document(s) (if foreign priority is claimed)					
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
6. Application Data Sheet. See 37 CFR 1.76								
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:								
Continuation	Divisional Continuation-in-part	(CIP)	0	f prior application No.:PC	T, EP	02/00	742	
Prior application information:	Examiner:			Group Art Unit:				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
	19. CORRESP	ONDENC	E ADD	RESS				
Customer Number or Bar Code Label (Insert Customer No. or Attach bar code la			or Correspondence address below					
Name	Name Jacob Eisenberg, Customer Number 28204							
	Siemens AG							
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City	Zuerich	St		ate		Zip Code		7
Country	Switzerland	Teleph	one	+41585583295	Fé	ex	+415855	83228
Name (Print/Type)	Jacob Eisenberg Registration No.			stration No. (Attorney	/Agent)		43,410	
Signature Docoberous Date 09/05/200								

the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (01-03)
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	for	FY	200	3	

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

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(\$) 916.00

Complete if Kn wn			
Application Number	To be Assigned		
Filing Date	Herewith		
First Named Inventor	Wolfgang Jugovec		
Examiner Name	To be Assigned		
Art Unit	To be Assigned		
Attorney Docket No.	2001P04281WOUS		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:		Entity	Small	Entity		
Deposit Account 502464	Fee Code	,	Fee Code		Fee Description	Fee Paid
Number	1051	130	2051		Surcharge - late filing fee or oath	
Deposit Account Siemens Schweiz	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	<b>├</b> ──┤
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<b></b>
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	L
1. BASIC FILING FEE Large Entity Small Entity	1253	930	2253		Extension for reply within third month	1
Fee Fee Fee Fee Description Fee Paid	1254	-	2254	725	Extension for reply within fourth month	
Code (\$) Code (\$)  1001 750   2001 375   Utility filing fee	1255	1 970	2255	985	Extension for reply within fifth month	
1001 750 2001 375 Utility filing fee 750.00	1401	320	2401		Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402	320	2402		Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee	1403	280	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 750.00	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims 27 20** = 7 x 18 = 126.	1502	470	2502	235	Design issue fee	
ladencedost 20 20 American	1503	630	2503	315	Plant issue fee	
Claims 2 - 3** = 0 x 84 =0	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	<u> </u>
Code (\$) Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	40.00
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	375	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	<del></del>
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination	<del></del>
and over original patent	Other	ا fee (sp	ecifu)		of a design application	<del></del>
SUBTOTAL (2) (\$) 126.00		٠.		Filina F	ee Paid SUBTOTAL (2) (C) 40 00	<del></del>
**or number previously paid, if greater; For Reissues, see above					ee Paid SUBTOTAL (3) (\$) 40.00	<u>'</u>

Registration No. Jacob Eisenberg Name (Print/Type) 43,410 Telephone +41585583295 acologiaenti Signature 09/05/2003 Date

WARNING: Inf rmation on this form may become public. Cr dit card information should not

be included on this form. Provid credit card information and auth rization in PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

## SIEMENS

**VIA DHL United States Patent & Trademark Office** 2011 South Clark Place **Customer Window, Mail Stop Application Number** Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia USA 22202

Name Division of activity Department

Jacob Eisenberg Intellectual Property

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Your reference

Our reference Date

2001P04281WOUS/ERB September 5, 2003

Customer No.: 28204

Re: **Utility Patent Application** 

2001P04281WOUS; Atty. Dock.: Serial No.: to be assigned

Filed: to be assigned; Inventor(s): Wolfgang Jugovec et al.

For: Method and communication system for generating response messages

Sir:

In response to the FILING OF APPLICATION PAPERS, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- FeeTransmittal Form: 1.
- 2. Utility Patent Application Transmittal;
- 3. Specification;
- 4. Claims:
- 5. Abstract;
- 6. Drawings:
- 7. Assignment Recordation Form Cover Sheet
- 8. **Executed Assignment;**
- 9. **Executed Declaration; And**
- 10. Return Post Card.

It is respectfully requested that the attached post card by stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees in connection with the filing of this document to **Deposit Account No.:** 

## **↑ C 1 C** 2001P04281WOUS

**SIEMENS** 

September 5, 2003, page 2

502464 referencing attorney docket number 2001P04281WOUS.

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: September 5, 2003

Respectfully Submitted,

Jacob Eisenberg, Esq. Attorney for Applicant Registration No. 43,410

Customer No.: 28204

**Enclosures**